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PATENT
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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| In Re Application of: | Odd Geir Oddsen et al. |
| Application No.: | 09/937172 |
| Int'l Filing Date: | March 17, 2000 |
| For: | A Method for Manufacturing Food Pellets and Plant for Use in the Implementation of the Method |
| Examiner: | Not Assigned Yet |
| Group Art Unit: | |

Commissioner for Patent
Washington, D.C. 20231

Docket No.: H82.2-10148

INFORMATION DISCLOSURE STATEMENT

Listed below or on an attached Form PTO-1449 and/or a copy of a PTO-892 form is information known to applicant(s). A copy of each listed publication, U.S. patent, foreign patent and U.S. patent application is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98, *except that* U.S. applications from which priority is claimed under 35 U.S.C. §120, and documents cited in such priority applications, may be omitted from the enclosures pursuant to 37 C.F.R. 1.98(d). Applicant's submission of copies of U.S. applications does not constitute a waiver of the confidentiality of such applications. As such, **Applicant requests that any copies of unpublished US applications submitted herewith be excluded from the file wrapper pursuant to 37 C.F.R. §1.14.**

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 or PTO-892 from a prior application is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the

Information Disclosure Statement

Application No.09/937,172

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information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

Applications that are listed on the accompanying modified form 1449 as related by priority are related by priority claim under 35 USC §120. Pursuant to 37 CFR §1.98(d), no copies of cited art in a previous application(s) to which priority was claimed need be submitted. Applicant is providing copies of the 1449 and/or 892 forms from these cases.

☒ **I.** This statement qualifies as a no-fee Information Disclosure Statement under 37 C.F.R. §1.97(b) or otherwise because to the knowledge of the undersigned attorney it is being filed (check all that apply):

- ☐ (1) within 3 months of the filing date of the application (other than a CPA); or
- ☐ (2) within 3 months of entry of the national stage; or
- ☒ (3) before the mailing of a first Office Action on the merits;
- ☐ (4) before the mailing of a first Office Action after the filing of a request for continued examination (RCE) under §1.114;
- ☐ (5) as part of a continued prosecution application (CPA); or
- ☐ (6) during the period of a suspension of action for a CPA under 37 C.F.R. §1.103(b).

☐ **II.** This statement is believed to require a fee or the submission of a certification under 37 C.F.R. §1.97 (c) or otherwise. If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application (other than CPA); (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; (3) the mailing of a first Office Action on the merits; (4) the mailing of a first Office Action after the filing of a request for continued examination under §1.114; or (5) after the filing of a request for a continued prosecution application, but before the mailing date of the earlier of a final office action under §1.113, a notice of allowance under §1.311 or an action that otherwise closes prosecution in the application, then:

Information Disclosure Statement
Application No.09/937,172
Page 3

- _____ (1) a certification as specified in §1.97(e) is provided below; or
- _____ (2) a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

_____ **III. 37 C.F.R. §1.97(d).** If this statement is being filed after the mailing date of the earlier of a final office action under §1.113, a notice of allowance under §1.311, or an action that otherwise closes prosecution in the application, but before payment of the issue fee, then:

- (1) a certification as specified in §1.97(e) is completed below; and
- (2) a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with payment of other papers filed together with this statement.

X **IV. Fee Authorization.** If any fee is due for consideration of this Information Disclosure Statement and full payment has not been submitted herewith, regardless of which boxes have been checked above, the Commissioner is hereby authorized to charge any additional fees associated with this communication to Deposit Account No. 22-0350. The Commissioner is hereby authorized to credit any overpayment associated with this communication to Deposit Account No. 22-0350.

If paragraph II.1 or III is checked, also check one of the paragraphs below

_____ I hereby certify, under 37 CFR §1.97(e)(1), that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the date of the filing of this information disclosure statement.

_____ I hereby certify, under 37 CFR §1.97(e)(2), that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 1.56(c) more

Information Disclosure Statement
Application No.09/937,172
Page 4

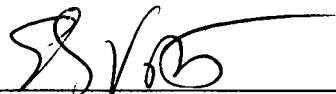
than three months prior to the filing of the Information Disclosure Statement.
For the purpose of this certification, Applicant considers the PCT International Search Authority to constitute a foreign patent office.

If this Information Disclosure Statement has been submitted without the appropriate box checked, Applicant requests that this Information Disclosure Statement be considered nevertheless if it is timely submitted under any of the provisions of 37 C.F.R. §1.97 or otherwise. Finally, if any petition is necessary to ensure consideration of this Information Disclosure Statement, Applicant requests that this be treated as such a petition.

Sincerely,

VIDAS, ARRETT & STEINKRAUS, P.A.

Date: 03/14/2002

By: 
Edwin E. Voigt II, Esq.
Registration No.: 36,042

Suite 2000
6109 Blue Circle Drive
Minnetonka, MN 55343-9185
Telephone: (952) 563-3000
Facsimile: (952) 563-3001
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|---|---|---|---|
| FORM PTO-1390 (REV 10-94) | | U.S. Dept. of Commerce and Patent and Trademark Office | ATTORNEY'S DOCKET NUMBER: H82.2-10148 |
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | | U.S. APPLICATION NO. (If known): 09/937,172 |
| INTERNATIONAL APPLICATION NO.: PCT/NO00/00093 | INTERNATIONAL FILING DATE (dd/mm/yy): 17 March 2000 | PRIORITY DATE CLAIMED (dd/mm/yy): 25 March 1999 | |
| TITLE OF INVENTION: A METHOD FOR MANUFACTURING FEED PELLETS AND PLANT FOR USE IN THE IMPLEMENTATION OF THE METHOD | | | |
| APPLICANT(S) FOR DO/EO/US: Odds et al. | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | |
| 1. | <input type="checkbox"/> | This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. | |
| 2. | <input checked="" type="checkbox"/> | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. | |
| 3. | <input type="checkbox"/> | This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). | |
| 4. | <input type="checkbox"/> | A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. | |
| 5. | <input type="checkbox"/> | A copy of the International Application as filed (35 U.S.C. 371(c)(2)) | |
| | <input type="checkbox"/> | a. is transmitted herewith (required only if not transmitted by the International bureau). | |
| | <input type="checkbox"/> | b. has been transmitted by the International Bureau. | |
| | <input type="checkbox"/> | c. is not required, as the application was filed in the United States receiving Office (RO/US). | |
| 6. | <input type="checkbox"/> | A translation of the International Application into English (35 U.S.C. 371 (c)(2)). | |
| 7. | <input type="checkbox"/> | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) | |
| | <input type="checkbox"/> | a. are transmitted herewith (required only if not transmitted by the International Bureau). | |
| | <input type="checkbox"/> | b. have been transmitted by the International Bureau. | |
| | <input type="checkbox"/> | c. have not been made; however, the time limit for making such amendments has NOT expired. | |
| | <input type="checkbox"/> | d. have not been made and will not be made. | |
| 8. | <input type="checkbox"/> | A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | |
| 9. | <input type="checkbox"/> | An oath or declaration of the inventor (35 U.S.C. 371(c)(4)). | |
| 10. | <input type="checkbox"/> | A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | |
| Items 11. to 16. below concern other document(s) or information included: | | | |
| 11. | <input checked="" type="checkbox"/> | An Information Disclosure Statement under 37 CFR 1.97 and 1.98. | |
| 12. | <input type="checkbox"/> | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.29 and 3.31 is included. | |
| 13. | <input type="checkbox"/> | A FIRST preliminary amendment. Please enter the amendment before fee calculation. | |
| | <input type="checkbox"/> | A SECOND or SUBSEQUENT preliminary amendment. | |
| 14. | <input type="checkbox"/> | A substitute specification. | |
| 15. | <input type="checkbox"/> | A change of power of attorney and/or address letter. | |
| 16. | <input type="checkbox"/> | Other items or information: | |

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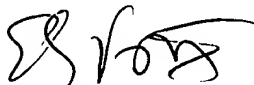
| | | | | | |
|---|---------------------|---------------------|-------------|------------------------|----|
| <p>17. <input type="checkbox"/> The following fees are submitted:</p> <p>BASIC NATIONAL FEE (37 CFR 1.492(A)(1)-(5)): <i>(select the appropriate one of the following fees)</i></p> <p>Search Report has been prepared by the EPO or JPO \$ 930.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) \$ 490.00</p> <p>No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$ 750.00</p> <p>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$ 1,070.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Articles 33(2)-33(4) \$ 98.00</p> <p style="text-align: right;">\$</p> <p style="text-align: center;">ENTER APPROPRIATE BASIC FEE AMOUNT =</p> | CALCULATIONS | PTO USE ONLY | | | |
| <p>Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).</p> <p style="text-align: right;">\$</p> | | | | | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | |
| Total Claims | - 20 = | | x \$ 22.00 | \$ | |
| Independent Claims | - 3 = | | x \$ 82.00 | \$ | |
| Multiple Dependent Claims (if applicable) | | | + \$ 270.00 | \$ | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$ | |
| Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must be filed also. (Note 37 CFR 1.9, 1.27, 1.28). | | | | \$ | |
| SUBTOTAL = | | | | \$ | |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | \$ | |
| TOTAL NATIONAL FEE = | | | | \$ | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | | | \$ | |
| TOTAL FEES ENCLOSED = | | | | \$ | |
| | | | | Amount to be: Refunded | \$ |
| | | | | Charged | \$ |

a. ☐ A check in the amount of \$__ to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. _____ in the amount of \$_____ to cover the above fees is enclosed. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 22-0350.

Send All Correspondence To:
 Vidas, Arrett & Steinkraus, P.A.
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By: 
 Edwin E. Voigt, II, Esq.
 Registration No. 36,042

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
UNITED STATES RECEIVING OFFICE (RO/US)

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|--|---|
| In re Application of: | Oddson et al. |
| U.S. Nat'l Stage of Int'l App. No.: | PCT/NO00/00093 |
| Int'l Filing Date: | March 17, 2000 |
| For: | A METHOD FOR MANUFACTURING FEED PELLETS AND PLANT FOR USE IN THE IMPLEMENTATION OF THE METHOD |

Box PCT
ATTN: EO/US
Commissioner for Patents
Washington, D.C. 20231

Docket No.: H82.2-10148

TRANSMITTAL LETTER

1. In regard to the above-identified application, we are submitting the attached:
4 PG IDS; 1 pg 1449 forms; copies of references; VAS Transmittal Letter; and Postcard.
2. With respect to fees:
 - ☒ No additional fee is required.
 - ☐ Attached is check(s) in the amount of \$ _____.
 - ☐ Charge additional fee to our Deposit Account No. 22-0350.


CONDITIONAL PETITION AND FEE FOR EXTENSION OF TIME

3. This conditional petition is being filed along with the papers identified in Item 1 above and provides for the possibility that Applicant has inadvertently overlooked the need for a petition and fee for extension of time. If any extension of time for the accompanying response is required, Applicant requests that this be considered a petition therefor.
4. Please charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 22-0350.

VIDAS, ARRETT & STEINKRAUS

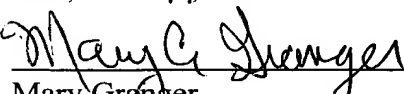
Date: March 14, 2002

By:


Edwin E. Voigt, II, Esq.
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Telephone: (952) 563-3000
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Certificate Under 37 CFR 1.10: I hereby certify that this Transmittal Letter and the paper(s) as described herein, are being deposited in the U.S. Postal Service, as EXPRESS MAIL, Label No. EV075164731US, addressed to BOX PCT, Commissioner for Patents, Washington D.C. 20231, on March 14, 2002.


Mary Granger